



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Wilson Wai Shun Chan

Confirmation No. 2527

Serial No.: 09/493,673

Examiner: Cam Y T Truong

Filing Date: January 28, 2000

Group Art Unit: 2172

Title: TECHNIQUES FOR DLM OPTIMIZATION WITH TRANSFERRING LOCK  
INFORMATION

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT UNDER CFR 1.312

Sir:

We are in receipt of the Notice of Allowance mailed April 19, 2004 in the  
above-identified application.

Please amend the specification as follows:

IN THE SPECIFICATION

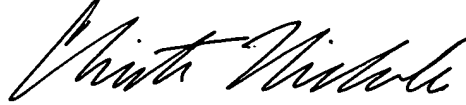
Please replace the paragraph appearing at page 1, lines 5-11, with the following:

This application is related to U.S. Patent Application Serial Number       /      /        
09/493,660 filed on            January 28, 2000, entitled TECHNIQUES FOR DLM  
OPTIMIZATION WITH RE-MAPPING RESPONSIBILITY FOR LOCK MANAGEMENT, by  
inventor Wilson Wai Shun Chan (~~attorney docket number 50277-305, OI-1999-021-01~~); and to  
U.S. Patent Application Serial Number       /      /       09/493,659 filed on           ,  
January 28, 2000 entitled TECHNIQUES FOR DLM OPTIMIZATION WITH RE-  
MASTERING EVENTS, by inventor Wilson Wai Shun Chan (~~attorney docket number 50277-~~  
~~384, OI-1999-021-3~~).  
OID #1999-021-02 (50277-0383)

Please charge any shortages or credit any overages to Deposit Account No. 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP



Christian A. Nicholes

Attorney of Record

Registration No. 50,266

Dated: May 5, 2004

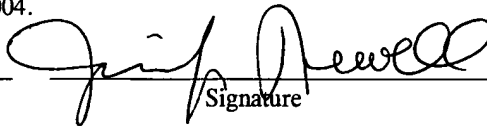
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 5, 2004.

Jennifer Newell

Name

  
Signature

May 5, 2004  
Date



PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**NO FEE TRANSMITTAL  
for FY 2004**

Patent fees are subject to annual revision,  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$)**0.00****Complete if Known**

Application Number 09/493,673

Filing Date January 28, 2000

First Named Inventor Wilson Wai Shun Chan

Examiner Name Cam Y T Troung

Group/Art Unit 2172

Attorney Docket No. 50277-0383

**METHOD OF PAYMENT (check one)**

1. ☒ Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit  
Account  
Number

50-1302

Deposit  
Account  
Name

Hickman Palermo Truong &amp; Becker, LLP

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

3. ☐ Applicant(s) is entitled to small entity status.  
See 37 CFR 1.27.

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 770      | 2001         | 385      | Utility filing fee     |          |
| 1002         | 340      | 2002         | 170      | Design filing fee      |          |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |          |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          | (\$) <b>0.00</b>       |          |

**2. EXTRA CLAIM FEES**

| Total Claims       | Highest Paid Claims | Extra Claims | Fee from Below | Fee Paid |
|--------------------|---------------------|--------------|----------------|----------|
| 21                 | - 21                | 0            | 18.00          | = 0.00   |
| 5                  | - 5                 | 0            | 86.00          | = 0.00   |
| Multiple Dependent |                     |              |                | =        |

**\*\*or number previously paid, if greater; For Reissues, see below**

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                    |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                         |
| 1203         | 290      | 2203         | 145      | Multiple dependent claim, if not paid                     |
| 1204         | 86       | 2204         | 43       | **Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**0.00****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity                      |          | Small Entity |          | Fee Description  | Fee Paid         |
|-----------------------------------|----------|--------------|----------|--|------------------|
| Fee Code                          | Fee (\$) | Fee Code     | Fee (\$) |  |                  |
| 1051                              | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |                  |
| 1052                              | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |                  |
| 1053                              | 130      | 1053         | 130      | Non-English specification  |                  |
| 1812                              | 2,520    | 1812         | 2,520    | For filing a request for reexamination                                     |                  |
| 1804                              | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |                  |
| 1805                              | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |                  |
| 1251                              | 110      | 2251         | 55       | Extension for reply within first month                                     |                  |
| 1252                              | 420      | 2252         | 210      | Extension for reply within second month                                    |                  |
| 1253                              | 950      | 2253         | 475      | Extension for reply within third month                                     |                  |
| 1254                              | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |                  |
| 1255                              | 2010     | 2255         | 1005     | Extension for reply within fifth month                                     |                  |
| 1401                              | 330      | 2401         | 165      | Notice of Appeal   |                  |
| 1402                              | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |                  |
| 1403                              | 290      | 2403         | 145      | Request for oral hearing   |                  |
| 1451                              | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |                  |
| 1452                              | 110      | 2452         | 55       | Petition to revive - unavoidable   |                  |
| 1453                              | 1330     | 2453         | 665      | Petition to revive - unintentional   |                  |
| 1501                              | 1330     | 2501         | 665      | Utility issue fee (or reissue)   |                  |
| 1502                              | 480      | 2502         | 240      | Design issue fee   |                  |
| 1503                              | 640      | 2503         | 320      | Plant issue fee  |                  |
| 1460                              | 130      | 1460         | 130      | Petitions to the Commissioner  |                  |
| 1807                              | 50       | 1807         | 50       | Petitions related to provisional applications                              |                  |
| 1806                              | 180      | 1806         | 180      | Submission of information Disclosure Stmt                                  |                  |
| 8021                              | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |                  |
| 1809                              | 770      | 2809         | 385      | Filing a submission after final rejection (37 CFR § 1.129(a))              |                  |
| 1810                              | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR § 1.129(b))           |                  |
| Other fee (specify)               |          |              |          |  |                  |
| Other fee (specify)               |          |              |          |  |                  |
| *Reduced by Basic Filing Fee Paid |          |              |          | SUBTOTAL (3)   | (\$) <b>0.00</b> |

**SUBMITTED BY**

Name (Print/Type) Christian A. Nicholes

Registration No.  
(Attorney/Agent) 50,266

Telephone (408) 414-1080

Signature

Date May 5, 2004

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Amend, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.